

Annex 1

Inspection Findings - Consolidated Divisional Feedback

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| Key: | |
| CC/LA – County Council/Local Authority (incl schools where LA is employer) | ADV = Advice |
| SCH = School (where Governing Body is employer) | IN = Improvement Notice |
| HE = Higher Education | PN = Prohibition Notice |
| HS = Health Service | PR = Prosecution |
| Rail = Railways | |
| Misc = includes police/fire/ambulance/MoD | |

| Region | No/type of duty holder dealt with | | | | | | No visits | Summary of enforcement action | | | | Overall assessment of adequacy of duty holder actions |
|----------------------|-----------------------------------|-----|----|----|------|------|-----------|-------------------------------|----|----|----|--|
| | CC/LAs | SCH | HE | HS | RAIL | MISC | | ADV | IN | PN | PR | |
| Wales and South West | 11 | 5 | 2 | 1 | 1 | 2 | 7 | ✓ | | | | Duty holders were aware of the 'duty to manage' requirement and compliance with it was demonstrated. Corrective action taken as necessary in response to HSE advice. Inspectors gave further advice on the control of contractors, clarifying roles and responsibilities, emergency procedures and monitoring arrangements. |
| Midlands | 23 | | 1 | 6 | | 2 | 69 | ✓ | 18 | | | Large number of system buildings in this region. Asbestos compliance ranged from those who were proactive before the HSE letter, those who took prompt action following the warning and those who despite written and verbal advice did not implement a reasonable plan of action. Those not taking action were targeted for sample inspections. Extensive remedial programmes completed/underway in response to HSE advice. Following sample inspection visits 18 INs were served on specific schools under LA control. |

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|--------------------------|-----------------------------------|-----|----|----|------|------|-----------|-------------------------------|----|----|----|---|
| | CC/LA | SCH | HE | HS | RAIL | MISC | | ADV | IN | PN | PR | |
| Yorkshire and North East | 19 | 1 | 1 | | | 52 | 38 | ✓ | | | | Full and detailed responses were received from most of the duty holders contacted. For those where this was not the case, sample inspections confirmed satisfactory levels of control. Where necessary, remedial work was carried out in response to the HSE alert. |
| North West | 7 | 2 | 1 | 8 | | | 2 | ✓ | | | | Generally, duty holders already had asbestos management strategies in place and were aware of the requirements of Control of Asbestos (CAR) Regulations 2006. In response to HSE advice, remedial work was carried out where necessary. A number of duty holders were found to have no remaining CLASP buildings due to demolition, vacation of the property or inaccurate SCAPE information. |
| East South East | 8 | 1 | | 2 | | | 3 | ✓ | | | | Relatively few CLASP or other system buildings in this region. Responses from duty holders satisfactory. No remedial work on column casings identified but follow up work within wider context of asbestos duty to manage requirements undertaken, leading to improved asbestos management systems. |

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|--------------|-----------------------------------|-----------|----------|-----------|----------|-----------|------------|-------------------------------|-----------|----------|----------|--|
| | CC/LA | SCH | HE | HS | RAIL | MISC | | ADV | IN | PN | PR | |
| London | 6 | 3 | 1 | | | | 3 | ✓ | 2 | | | 6 (out of 33) local authorities reported to have these buildings, with between 1 and 9 buildings in each local authority. Work ongoing as start of initiative delayed due to other priority work on fatal accidents. All duty holders contacted in writing. Follow up visits made where responses were inadequate to check what action had been taken in response to HSE advice and enforcement action taken where appropriate. Visit to school identified by ITN survey carried out. All remedial work identified and carried out at the school in response to HSE advice. 2 INs served for review and revision of asbestos management planning arrangements. |
| Scotland | 11 | 2 | 1 | | | | 8 | ✓ | | | | All replies received. All duty holders aware of their responsibilities from management in HQ to Head Teachers responsibilities and actions, including training, refresher training, documentation, sampling and duties that Service Support Officers (janitors) undertake. Good response stating action taken. Sample visits found good systems in place representing good compliance with duty to manage. No additional action in response to HSE advice was required. |
| TOTAL | 85 | 14 | 7 | 17 | 1 | 56 | 130 | ✓ | 20 | 0 | 0 | |