

G/INS/008 – Guidance for Intervention Planning

| Regulatory Nuclear Interface Protocol (RNIP) Feedback (Issued October 2008) | | | | | |
|---|------------------------|---|---|--|---|
| Vision Statement: to enable the safe, secure, effective use and control of nuclear technology and material for the overall benefit of society. | | | | | |
| Date of interaction / / | | Level of Meeting: (circle if applicable) 1 2 3 4 | | Is this feedback agreed jointly? Yes <input type="checkbox"/> No <input type="checkbox"/> | |
| Dutyholder (licensee/authorisee): | | Regulator(s): | | Please complete the 'Jointly Agreed Ratings' columns. | |
| If not completed jointly, which organisation is completing this feedback? | | | Please complete the 'Separate Ratings' columns. | | |
| Organisation: | | | Named contact: | | |
| Title or nature of interaction (if applicable): | | | | | |
| Objectives: | | | | | |
| Values & Behaviours (1-10, 10 = exemplary) | Jointly Agreed Ratings | | Separate Ratings | | Comments (*please annotate form if both ND and DNSR are present and distinct ratings are needed) |
| | On Duty-Holders | *On Regulator(s) | On Duty-Holders | *On Regulator(s) | |
| Responsive, well informed and innovative | | | | | |
| Balanced and Proportionate | | | | | |
| Consistent and Transparent | | | | | |
| Timely | | | | | |
| Overall alignment vision statement | | | | | |
| How well did the process of the meeting go? | | | | | |
| How well were the meeting objectives met and agreed? | | | | | |
| Other comments or learning? | | | | | |

**Regulatory Nuclear Interface Protocol (RNIP)
Behaviours for Effective Working**

| Responsive, Well-Informed and Innovative | Balanced and Proportionate |
|---|--|
| Listen first, be constructive and flexible. | All parties will act professionally, recognising the other parties' perspectives and responsibilities. |
| Seek to be well informed of the concerns and perspectives of other parties. | Make judgements and take action in a manner which is judged proportionate. |
| Share information strategically. | Require information and data only that is necessary to make the judgement. |
| Seek to learn from past experience and to innovate. | Submit comprehensive and convincing cases. |
| Operate such that there are "no surprises" for regulated and regulator. | |
| Consistent and transparent | Timely |
| Be consistent in the advice tendered, judgements and decisions made, where the situations are comparable. | Engage early to discuss the issues, requirements and significant assumptions. |
| All parties honour commitments when expectations have been aligned and agreed with relevant staff. | Realistically assess the work involved with production or assessment documentation and produce good quality reports. |
| Share with the other party the rationale for decisions and advice. | Where changes to plans are necessary, alert all parties and share context Promptly. |
| Share information promptly and fully. | |
| Be open about the regulatory approach and decisions. | Record unambiguously what needs to be done, by whom, and intended delivery date. |
| Agree who will speak/lead for each party on specific topics or projects. | |