

RESTRICTED (when complete)

# HSE INSPECTOR INVESTIGATION REPORT

## Part 1 – Disclosable Summary

Name

Occupation Info

SCRO Number  Ethnicity

Date of Birth  Gender

### ADDITIONAL INFORMATION

Prejudice incident

Contact details

### SUMMARY

Key information

Antecedents

### SUMMARY OF EVIDENCE

Description of event

**Description of locus**

**Medical evidence**

**Interview**

**Caution and charge / reply**

## Part 2 – Non-disclosable Analysis

Analysis of evidence / identification of accused

### REMARKS

**Effectiveness of precautions before the incident**

  

**Action taken by duty holder since the incident**

  

**Foreseeability and relevant standards**

  

**Relevant previous advice and prior involvement by HSE**

  

**Views of those affected**

  

**Discussion of applicable law**

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### Penalties available (delete those not applicable)

The maximum penalty on summary conviction for a breach of:

- Health and Safety at Work Act 1974, Sections 2 to 6 is £20,000 by virtue of Section 33(1A).
- Health and Safety at Work Act 1974, Sections 7 to 9, and of any Health and Safety Regulations is the prescribed sum of £5,000 by virtue of Section 33(3) and the Criminal Justice Act 1991, Section 17.
- Health and Safety at Work Act 1974, Section 33(1) (d, f, h or n, or paragraph e, consisting of contravening a requirement of an inspector) is Level 5 on the standard scale, £5,000 by virtue of Section 33(2) and the Criminal Justice (Scotland) Act 1995, Section 225.

For offences committed after 16 January 2009 the penalties available are as set out in Schedule 1 of the Health and Safety (Offences) Act 2008.

### Aggravating and mitigating factors

**Conclusion and recommendation**

**Further enquiries**

**Reporting officer**

**Name**

**Position**

**Supervisor**

**Name**

**Position**

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